Attorney Docket No.: 5368.220-US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Borchert et al Confirmation No: To be assigned

Serial No.: To be assigned Group Art Unit: To be assigned

Filed: September 18, 2003 Examiner: To be assigned

For: Alpha-Amylase Mutants

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97(b)

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which may be material to the patentability of this application and with respect to which there may be a duty to disclose. While the references may be material under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are prior art. The filing of this IDS shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

The references are listed in Form PTO/SB/08A, which is in accordance with the requirements of M.P.E.P. 609. A copy of the references was filed in US application no. 09/769,864 filed January 25, 2001, the benefit of which is claimed under 35 USC 120. It is respectfully requested that these references be considered by the USPTO in its examination of the above-identified application and be made of record therein.

This IDS is being filed **within** three months of the filing date of a national application or date of entry into the national stage of an international application, or **b** for the mailing date of a first Office action on the merits, or **b** for the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due.

Respectfully submitted,

Date: September 18, 2003

Jason I. Garbell, Reg. No. 44,116 Novozymes North America, Inc. 500 Fifth Avenue, Suite 1600

New York, NY 10110 (212) 840-0097

PTO/SB/08A (10-01)
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STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	Borchert et al		
				Art Unit	To be assigned		
				Examiner Name	To be assigned		
Sheet	1	of	4	Attorney Docket Number	5368 220-US		

	U.S PATENT DOCUMENTS						
Examiner Initials	Cite No. 1	Document Number Number – Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS							
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		WO 95/35382	12/28/95					
		WO 91/00353	1/10/91	·				
		WO 97/41213	11/6/97					
		WO 90/11352	10/4/90					
•		WO 95/10603	4/20/95					
		WO 95/26397	10/5/95					
		WO 96/23873	8/8/96					
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner's Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Τ²			
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.